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PTO/SB/05 (0-00)
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12/28/01
C812
U.S. PTO
UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P12399
First Inventor Eleanor P. Rabadam, et al.
Title MEMORY DEVICE PACKAGING INCLUDING STACKED PASSIVE
DEVICES AND METHOD FOR MAKING THE SAME
Express Mail Label No. EL414998389US

JC973 U.S. PTO
12/28/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 11]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 21]
5. Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. § 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application Information: Examiner _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS



PATENT TRADEMARK OFFICE
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Name				
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City	State	Zip Code		
Country	Telephone	(503) 684-6200	Fax	(503) 684-3245

Name (Print/Type)	Donna Jo Coningsby	Registration No. (Attorney/Agent)	41,684
Signature			
		Date	12/28/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$)

740.00

Complete if Known

Application Number		
Filing Date	12/28/01	
First Named Inventor	Eleanor P. Rabadam	
Examiner Name		
Group/Art Unit		
Attorney Docket No.	42390P12399	

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP** Charge Any Additional Fee(s) Required
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20 Applicant claims small entity status
See 37 CFR 1.27.

2. Payment Enclosed:

 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code (\$)	
101	740	201 370	Utility filing fee
106	330	206 165	Design filing fee
107	510	207 255	Plant filing fee
108	740	208 370	Reissue filing fee
114	160	214 80	Provisional filing fee
SUBTOTAL (1)		(\$)	740.00

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	
20	3	-	20** = 0	X 18.00 = \$0.00	
3	-	3** = 0	X 84.00 = \$0.00		

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	Fee Code (\$)
103	18	203 9
102	84	202 42
104	280	204 140
109	84	209 42
110	18	210 9
SUBTOTAL (2)		(\$)
		0.00

**or number previously paid, if greater, For Reissues, see below

3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205 65	Surcharge - late filing fee or oath
127	50	227 25	Surcharge - late provisional filing fee or cover sheet.
139	130	139 130	Non-English specification
147	2,520	147 2,520	For filing a request for ex parte reexamination
112	920*	112 920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action
115	110	215 55	Extension for reply within first month
116	400	216 200	Extension for reply within second month
117	920	217 460	Extension for reply within third month
118	1,440	218 720	Extension for reply within fourth month
128	1,960	228 980	Extension for reply within fifth month
119	320	219 160	Notice of Appeal
120	320	220 160	Filing a brief in support of an appeal
121	280	221 140	Request for oral hearing
138	1,510	138 1,510	Petition to institute a public use proceeding
140	110	240 55	Petition to revive - unavoidable
141	1,280	241 640	Petition to revive - unintentional
142	1,280	242 640	Utility issue fee (or reissue)
143	460	243 230	Design issue fee
144	620	244 310	Plant issue fee
122	130	122 130	Petitions to the Commissioner
123	50	123 50	Prospecting fee under 37 CFR 1.17(q)
126	180	126 180	Submission of Information Disclosure Stmt
581	40	581 40	Recording each patent assignment per property (times number of properties)
146	740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279 370	Request for Continued Examination (RCE)
169	900	169 900	Request for expedited examination of a design application

Other fee (specify)

Other fee (specify)

*Reduced by Basic Filing Fee Paid

FEE CALCULATION (continued)

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code (\$)	
113	1,840*	113 1,840*	
122	130	122 130	
123	50	123 50	
126	180	126 180	
581	40	581 40	
146	740	246 370	
149	740	249 370	
179	740	279 370	
169	900	169 900	
SUBTOTAL (3)		(\$)	0.00

SUBMITTED BY

Name (Print/Type)	Donna Jo Coningsby	Registration No. (Attorney/Agent)	41,684	Telephone	(503) 684-6200
Signature	<i>Donna Jo Coningsby</i>			Date	12/28/01

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